

Risk Management Policy

Public Board
30 July 2026

Presented for:	Assurance
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Previous Committees:	Risk Management Committee - approved July committee 2026

Freedom of Information Act (FOIA) Exemption	☐ YES (restricted from the FOIA) ☒ NO (available to the public under the FOIA)
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Link to Strategic Objective	Outcomes – being outstanding now and in the future
Link to Provider Capability Assessment	Governance, risk and regulatory
Link to CQC Well-led Statement	Governance, Management and Sustainability
Regulatory Impact	Regulation 17: Good governance

Key points	Purpose
1. Revised version of Leeds Teaching Hospitals NHS Trust Risk Management Policy, incorporating increased focus on risk appetite and tolerance, changes to committee responsibilities, delegation of duties from the chief executive to the Chief Operating officer and title change for the Director of Corporate affairs.	Information
2. The policy aligns with the fourth edition of the Leeds Teaching Hospitals NHS Trust Risk Appetite Framework, published in May 2026	Information

1. Summary

The Leeds Teaching Hospitals NHS Trust Risk Management Policy is an integral component of the Trusts Quality Governance Framework. By complying with the organisational arrangements described in the policy, services will ensure the effective identification, assessment and control of risk, thereby ensuring delivery of objectives.

The achievement of the Trust's strategic objectives is subject to uncertainty, which gives rise to both opportunities and threats. Uncertainty of outcome is how risk is defined. Risk management includes identifying and assessing risks and responding to them.

The Trust will take all reasonably practicable steps to protect patients, staff, visitors and contractors from the risk of harm.

The Trust's governance framework will be supported by an effective risk management system that delivers continuous improvements in safety and quality and maximises opportunity for growth and development

2. Update

Changes from version 5.0

- 1.5 Definition of risk appetite and risk tolerance in line with Risk Appetite framework (4th Edition, May 2026).
- 3.0 Reference to Risk appetite framework updated to version 4 (May 2026).
- 4.1 Reference to clinical service units and corporate functions.
- 4.5 Addition of responsibility for board of directors to determine Leeds Teaching Hospitals NHS Trust Risk appetite and tolerance.
- 4.7(v) Addition of People and Culture Committee.
- 4.7 (vi) Addition of Perinatal Improvement and Assurance Committee.
- 5.1 Delegation of responsibility from Chief Executive to Chief Operating Officer.
- 5.5 Change of title to Director of Corporate Affairs
- 10.0 Approval date updated to June 2026

3. Quality and Performance Implications

Implementation of the Risk Management Policy will ensure compliance with required standards and an effective approach to managing risk to ensure high quality of service delivery and high performance.

4. Communication and Involvement

Section 10.0 of the policy sets out the communication and dissemination plan for the policy, Identifying target audience, objectives and actions for effective communication and dissemination.

5. Impact on Equality & Health Inequalities

This Policy has been assessed for its impact upon equality. The Leeds Teaching Hospitals NHS Trust is committed to ensuring that the way that we provide services and the way we recruit and treat staff reflects individual needs, promotes equality and does not discriminate unfairly against any particular individual or group.

Due to the policy being overarching in serving the purpose of setting out the Corporate risk and governance arrangements, all policies and binding procedural documents that explicitly and collectively ensure the effective control of specific risks will be expected to have undergone their own equality analysis as a fundamental part of making sure the health, safety and welfare of all patients, staff, visitors and others in contact with the Trust are not adversely affected by the activities of the Trust. Any equality implications raised as part of the equality analysis process or throughout the life of a specific policy or binding procedural document that cannot be rectified will be expected to advance through the corporate governance arrangements set out in the Risk Management Policy until such a point that they are addressed.

6. Publication Under Freedom of Information Act

This paper has been made available under the Freedom of Information Act 2000.